

V. UNIVERSITY POLICIES

LOBBYING AND POLITICAL ACTIVITY

As a non-profit, private institution of higher education whose activities are regulated in part by Section 501 (c) (3) of the Internal Revenue Code (IRS), the University is prohibited from publicly endorsing or opposing political candidates, participating in political campaigns for candidates or ballot initiatives, and it is restricted in conducting lobbying activities. Violation of IRS regulations could have serious ramifications for the University, including loss of its tax-exempt status.

1. LOBBYING ACTIVITY

Lobbying is generally defined as communicating, directly or indirectly, with policymakers for the purpose of trying to influence legislation, whether federal, state, local, or foreign (non-U.S.). Mount St. Joseph University faculty, staff and students with an interest in engaging in lobbying in their capacity as a member of the Mount community must notify the Vice President of Compliance, Risk, and Legal Affairs/General Counsel who will consult with President's Cabinet. If it is determined that a lobbying activity is appropriate, it will be coordinated through the Office of the President and such activity will be subject to any restrictions or limitations imposed by the Office of the President.

Such activity includes, but are not limited to, "call to action" letters often encouraged by professional interest or membership groups associated with higher education. Where lobbying activity is permitted, it is Mount St. Joseph University's policy to adhere strictly to limitations on lobbying expenditures imposed by the Internal Revenue Service, the Higher Education Opportunity Act ("HEA"), supporting foundations, the government, and other funders. The University is specifically prohibited from using any funds under the HEA to attempt to influence a member of Congress in connection with any federal grant, contract, loan, or cooperative agreement and must demonstrate and certify to the Secretary of Education that we have not used any funds under the HEA for purposes covered in this policy. No student aid funding under HEA may be used to hire a registered lobbyist or to pay for securing an earmark. The University is also required to report lobbying expenditures annually to the IRS on Form 990.

2. POLITICAL ACTIVITY

Under the Internal Revenue Code, all section 501(c)(3) organizations are absolutely prohibited from directly or indirectly participating in, or intervening in, any political campaign on behalf of (or in opposition to) any candidate for elective public office. The prohibition applies to all campaigns at the federal, state, local, and foreign levels. Violation of this prohibition may result in denial or revocation of the University's tax-exempt status and the imposition of certain excise taxes.

Political campaign intervention includes any and all activities that favor or oppose one or more candidates for public office. The prohibition extends beyond candidate endorsements to include contributions to political campaign funds or public statements of position (verbal or written) made by or on behalf of the University in favor of or in opposition to any candidate for public office, distributing statements prepared by others that favor or oppose any candidate for public office, or allowing a candidate to use the University's assets or facilities if other candidates are not given an equivalent opportunity. These prohibitions extend to both the content of the public and inside pages of the University's website as well as links to related and unrelated organizations that encourage, recommend or otherwise urge viewers to use the link to get information about specific candidates and their positions on specific issues. Certain activities will require an evaluation of all the facts and circumstances to determine whether they result in political campaign intervention. Plans to invite a candidate for public office to campus or to host an election-related activity must be discussed with the Vice President of Compliance, Risk, and Legal

This information is part of Mount St. Joseph University's Student Handbook.

Affairs/General Counsel and approved by President's Cabinet prior to issuing an invitation or arranging the event.

All employees of the University are entitled and encouraged to participate or not, off hours, as they see fit, as individuals in the election process provided that speaking or acting in the name of Mount St. Joseph University or using University resources in connection with such activities is prohibited; and further, if Mount St. Joseph University is identified, that the opinions that are expressed are clearly identified as those of the individual and not the University.

Faculty, staff and students may decide to run for public office while at Mount St. Joseph University. To ensure compliance with IRS regulations and University policy, including conflict of interest and/or a conflict of commitment, a plan to manage potential conflicts must be established upon declaration of candidacy. An employee intending to seek public office must inform said employee's supervisor and appropriate administrative officer to develop a plan to avoid conflicts of interest. Students intending to seek public office must inform the Dean of Students to develop a plan to avoid conflicts of interest. It is requested that this notification come as soon as the employee or student is considering becoming a candidate, but in all cases, the aforementioned plan to manage political conflicts must be established and approved by the employee's administrative office, or the Dean of Students when involving students, prior to declaring candidacy. Under the Internal Revenue Code, all section 501(c)(3) organizations are absolutely prohibited from directly or indirectly participating in, or intervening in, any political campaign on behalf of (or in opposition to) any candidate for elective public office. The prohibition applies to all campaigns at the federal, state, local, and foreign levels. Violation of this prohibition may result in denial or revocation of the University's tax-exempt status and the imposition of certain excise taxes. The University may require such individuals to sign an acknowledgement containing limitations reasonably necessary to protect the University's reputation, goodwill, tax-exempt status, and accreditation.

Political campaign intervention includes any and all activities that favor or oppose one or more candidates for public office. The prohibition extends beyond candidate endorsements to include contributions to political campaign funds, fundraising, public statements of position (verbal or written) made by or on behalf of the University in favor of or in opposition to any candidate for public office, distributing statements prepared by others that favor or oppose any candidate for public office, or allowing a candidate to use the University's assets or facilities if other candidates are not given an equivalent opportunity. These prohibitions extend to both the content of the public and inside pages of the University's website as well as links to related and unrelated organizations that encourage, recommend or otherwise urge viewers to use the link to get information about specific candidates and their positions on specific issues.